

Taiwan Futures Exchange

Mini-TAIEX Flexible Futures Trading Incentive Program

1. Host Organization

Taiwan Futures Exchange (Hereinafter referred to as TAIEX)

2. Program Purpose

With the launch of the Mini-TAIEX Flexible Futures on January 22, TAIEX launches this trading incentive program to encourage futures industry professionals to actively promote Mini-TAIEX Flexible Futures.

3. Program Term

From January 22, to March 31, 2024. The trading performances are calculated weekly or monthly based on each award, and rewards are given by month.

4. Eligible Products

Mini-TAIEX Flexible Futures(MXFFX)

5. Eligible Participants

- FCMs
- Institutional investors: institutional client accounts of TAIEX brokerage members (include sub-accounts under disclosed omnibus accounts) and TAIEX proprietary trading accounts (exclude approved TAIEX market maker accounts). Institutional investors are required to register for the Program. The FCMs do not need to register.

6. Program Rewards

- FCMs Trading Contest (The FCM trading performance includes its affiliated IB.)
 - Weekly Award(A total of 9 weeks throughout the program)
 1. Criteria: The trading volume of MXFFX reaches 10 contracts for the week.
 2. Performance calculation: The calculation weight of trading MXFFX is 1 and for the number of active accounts is 2. The performance is calculated on a weekly basis.
 3. Reward: The top two FCMs each week will receive NTD30,000 and NTD20,000, respectively.
 - Monthly Award (A total of 3 months throughout the program)

1. Criteria: The trading volume of MXFFX reaches 15 contracts in January, and 30 contracts in February and March.
2. Performance calculation: The calculation weight of trading MXFFX from Jan.22 to 24 is 2, which means each contract is counted as 2 contracts. For the rest of trading days, the calculation weight is 1. The performance is calculated on a monthly basis.
3. Reward: Performance ranked from highest to lowest. The top five FCMs will receive the following cash reward:
 - ✓ First Place: NTD 120,000
 - ✓ Second Place: NTD 80,000
 - ✓ Third Place: NTD 60,000
 - ✓ Fourth Place: NTD 50,000
 - ✓ Fifth Place: NTD 30,000
- Reduction rate of Transaction Fee and Clearing Fee
 - Eligible Participants: FCMs and registered institutional investors
 - Reduction rate: 60% (The fee rebate for registered institutional investors is not included in the rebate for FCM).

7. Application Process for Institutional investors and Rebate Method

- Those wishing to apply for the Program should fill up the application form, including registering accounts, mail to TAIEX, and register on line: <https://report.taifex.com.tw/FMS/login.html> to complete the process. Non-member institutional clients should apply through their brokers.
- Those wishing to participate from January 22 should complete the applications before January 31, 2024. Those who do not complete the applications before January 31, 2024, the performance calculation starts from the next day after applications.
- Rebates are calculated on a calendar month basis and will be deducted from the transaction /clearing fees for the participating month. Transaction fee rebates will refund to the brokers first then to the eligible participants. Clearing fee rebates will refund to the clearing member then to the brokers and the eligible participants accordingly.
- Information of rebates will be posted at

<https://report.taifex.com.tw/FMS/login.html>. Participants can check with its brokers for details.

8. Miscellaneous

- If any participating companies merge during the program term, the surviving company will be the reward entity.
- Self-matched transactions will be excluded from the calculation of volume once found. Participants will be disqualified if not abide by related rules or contracts.
- After TAIFEX calculates the results of the rewards and publishes the winning list each month, receipts will be sent to the winning FCMs. TAIFEX will remit the rewards after the winners complete the receipts in accordance with the program rules and send back to TAIFEX.
- TAIFEX will send the receipts by e-mail. The winning FCMs should complete the receipts within one month from the date TAIFEX sends them and send back to TAIFEX. TAIFEX shall not be liable if TAIFEX is not able to notify the winner or cannot complete the remittance due to their registered information is wrong or inaccurate.
- According to the Standards of Withholding Rates for Various Incomes, if a profit-seeking enterprise having its fixed place of business within the territory of the Republic of China, and the reward payment exceeds NT\$ 20,000, 10% of the payment will be withheld. Also, according to the Stamp Tax Act, the reward payments will be subject to 0.4% stamp duty borne by the winners.
- The FCMs participating in this incentive program agree TAIFEX to publish company name on TAIFEX' s website.
- TAIFEX reserves the rights in its absolute discretion to cancel, terminate, amend or suspend the Program. Such news will be announced on TAIFEX website.
- TAIFEX reserves the rights to explain and amend the terms of the Program should there any matters not mentioned herein.
- Should any discrepancy between the Chinese version and the English Translation exist, the Chinese text shall govern.
- For more information, please refer to TAIFEX website(www.taifex.com.tw)
- For any question, please contact Trading Department
Ms. Huang (TEL: 886-2-2366-3379 ; rae.huang@taifex.com.tw)

Taiwan Futures Exchange
Institutional Investors Application Form –
Mini-TAIEX Flexible Futures Trading Incentive Program
(Non-Omnibus Accounts)

Company Name	
FINI ID	
Trading Accounts	1. FCM ID _ _ _ _ _ Account _____ 2. FCM ID _ _ _ _ _ Account _____
Contact Information	Name :
	TEL :
	E-mail :
Authorized Trader	Signature :
	Date :

TAIFEX email: rae.huang@taifex.com.tw

Taiwan Futures Exchange

Institutional Investors Application Form –

Mini-TAIFEX Flexible Futures Trading Incentive Program (Omnibus Accounts)

Omnibus Account	Company Name	
	FINI ID	
	Trading Accounts	FCM ID ____ Account_____
Sub-Account (Institutional Investor)	Company Name	
	FINI ID	
Contact Information	Name :	
	TEL :	
	E-mail :	
Authorized Trader	Signature :	
	Date :	

TAIFEX email: rae.huang@taifex.com.tw

Agreement of Personal Information Usage for Registration of Trading Incentive Program for Institutional Investors

I agree that Taiwan Futures Exchange Co., Ltd is authorized to collect, process, and use my personal information after receiving my company's above application form regarding Trading Incentive Program for Institutional Investors.

I agree that Taiwan Futures Exchange Co., Ltd is authorized to collect my personal information but the collection is limited to the name, telephone number, and e-mail address. The time period is limited from whenever I provide the information to 5 years after the end of the incentive program.

I understand that according to the regulation of Article 3 of the Personal Information Protection Act, I have the right to request Taiwan Futures Exchange Co., Ltd for review, duplication, and supplement or correction of my personal information. I also have the right to request Taiwan Future Exchange Co., Ltd to discontinue collection and possessing or usage of my personal information and delete my personal information 5 years after the end of the incentive program.

To: Taiwan Futures Exchange Co., Ltd

Client:

(Signature)
